HIT Policy & Standards Committees
Enrollment Workgroup

Aneesh Chopra, Chair
Chief Technology Officer, OSTP

Sam Karp, Co-Chair
California Healthcare Foundation

June 14, 2010

Workgroup Members

Chair: Aneesh Chopra, Federal CTO

Co-Chair: Sam Karp, California Healthcare Foundation

Members:

- Cris Ross   SureScripts
- James Borland   Social Security Administration
- Jessica Shahin   U.S. Department of Agriculture
- Stacy Dean   Center on Budget & Policy Priorities
- Steve Fletcher   CIO, Utah
- Reed V. Tuckson   UnitedHealth Group
- Ronan Rooney   Curam
- Rob Restuccia   Community Catalyst
- Ruth Kennedy   Louisiana Medicaid Department
- Ray Baxter   Kaiser Permanente
- Deborah Bachrach   Consultant
- Paul Egerman   Businessman
- Gopal Khanna   CIO, Minnesota
- Bill Oates   CIO, City of Boston
- Anne Castro   Blue Cross/Blue Shield South Carolina
- Oren Michels   Mashery
- Wilfried Schobeiri   InTake1
- Bryan Sivak   CTO, Washington, DC
- Terri Shaw   Children's Partnership
- Sallie Milam   West Virginia, Chief Privacy Officer
- Dave Molchany   Deputy County Executive, Fairfax County

Ex Officio/Federal:

Sharon Parrott, O/S, HHS
Nancy DeLew, CMS/HHS
Penny Thompson, CMS/HHS
Henry Chao, CMS/HHS
Gary Glickman, OMB
John Galloway, OMB
David Hale, NIH
Paul Swanenberg, SSA
David Hansell, Administration for
    Children & Families, HHS
Julie Rushin, IRS
Farzad Mostashari, ONC
Doug Fridsma, ONC
Claudia Williams, ONC

Section 1561 of Affordable Care Act

1561. HIT Enrollment, Standards and Protocols. *Not later than 180 days after the enactment, the Secretary, in consultation with the HIT Policy and Standards Committees, shall develop interoperable and secure standards and protocols that facilitate enrollment in Federal and State health and human services programs through methods that include providing individuals and authorized 3rd parties notification of eligibility and verification of eligibility.*

Draft Work Plan

- **May:** Initial Scoping

- **June 14 – July 16:** HIT Policy and Standards Committee Workgroup: Inventory, candidate standards, strategy to address gaps

- **July 16 – August 27:** Standards development, NIEM process

- **Sept 31:** Finalize standards recommendations

Draft Workgroup Charge

- Inventory of standards in use, identification of gaps, recommendations for candidate standards for federal and state health and human service programs in following areas:
    - Electronic matching across state and Federal data
    - Retrieval and submission of electronic documentation for verification
    - Reuse of eligibility information
    - Capability for individuals to maintain eligibility information online
    - Notification of eligibility

Potential Deliverables

1. Inventory of standards-based data exchange in use today to enroll in health and human services

2. Candidate standards for data elements and messaging

3. Proposed process to fill in gaps to rapidly turn "requirements" into working prototypes/live implementations to deliver world class eligibility and enrollment services

Potential Candidate Standards

- Core data elements
  - Name, address, residence, income, citizenship, etc.

- Messaging
  - Checking eligibility and enrollment
  - Consumer matching across systems
  - Retrieving and sending "packages" of verification information including income, employment, citizenship
  - Communicating enrollment information

- Privacy and security
  - Secure transport
  - Authentication

Standards Requirements

We need to conceptualize standards that might be useful and work across a variety of use cases or architectures which might include:

- Front end user-facing consumer portal to conduct initial eligibility checks and obtain and forward verification information

- Comprehensive eligibility system for Health and Human Services programs

- State or Federal exchange portals

Draft Policy Principles

Do not make policy through standards

Standards and technologies must support and be in service to our policy goals:

- Consumer at the center
- Make enrollment process less burdensome; simplify eligibility process and make it seamless
- Enter/obtain information once, reuse for other purposes
- Make it easier for consumers to move between programs
- Focus on 2014 world

Draft Standards Principles

- **Keep it simple - Think big, but start small**. Recommend standards as minimal as required to support necessary policy objective/business need, and then build as you go.
  - *Don't rip and replace existing interfaces that are working (e.g., with SSA etc.).*
  - *Advance adoption of common standards where proven through use (e.g., 270/271).*

- **Don't let "perfect" be the enemy of "good enough"** Go for the 80 percent that everyone can agree on.
  - *Opportunity to standardize the core, shared data elements across programs.*
  - *Cannot represent every desired data element.*

- **Keep the implementation cost as low as possible**
  - *May be possible to designate a basic set of services and interfaces that can be built once and used by or incorporated by states.*
  - *Opportunity to accelerate move to web services.*

- **Do not try to create a one-size-fits-all standard** that add burden or complexity to the simple use cases
  - *Opportunity to describe data elements and messaging standards that would be needed regardless of the architecture or precise business rules selected.*